

The EuroTransBio (ETB) programme

EuroTransBio (ETB) is a network of national/regional funding agencies which coordinate their efforts to launch annual calls for R&D&I projects in the area of modern biotechnology. The network started off as an ERA-NET initiative in 2004 and was supported by the European Commission in FP6 and FP7. By 2013, ETB is one of the first initiatives to operate in a self sustainable way i.e. without further funding for coordination and networking (ETB international funding initiative).

ETB's mission is to foster the competitiveness of the European biotechnology industry by supporting research intensive SMEs and their strategic partners (large industries, public and private research organizations/universities) with the aim of achieving a thriving European biotechnology industry.

ETB's objective is to further reduce fragmentation of the ERA by coordinating national and regional R&D&I programmes throughout Europe in order to establish cross border partnerships between European SMEs in all sectors of modern biotechnology.

International partnerships: ETB encourages international collaboration between European and non-European partners. Hence, also non-European funding agencies are invited to join the network in order to support worldwide cooperation in biotechnology.

Achievements of the ETB initiative: In order to establish the sustainable ETB funding initiative, ETB has elaborated a lean call process and tested the developed procedure by launching eight joint calls from 2006 to 2012.

ETB programme guidelines for applicants

For the current call, please go to the call announcement , where specific information and data on this call can be found.

1. Participating countries / regions

- **Austria**
- **Belgium**, represented by the regions of:
 - **Flanders**
 - **Wallonia**
- **Finland**
- **France**, represented by the region of:
 - **Alsace**
- **Germany**
- **Italy**
- **Russia**
- **Spain**, represented by the regions of:
 - **Andalusia**
 - **Basque Country**



The countries / regions listed above (and referred to as programme participants) are participating in ETB programme. Applicants from these countries / regions may expect funding through the ETB programme. Applicants from other countries / regions may participate in ETB consortia at their own expenses (see [3.2.1](#)).

2. Project scope and funding

The strategic objective of ETB calls is to foster the competitiveness of Europe's biotechnology industry by supporting research intensive SMEs and their strategic partnerships. ETB programme focuses on supporting innovation and competitive research in SMEs (see [Definition 1](#)) by funding transnational projects with challenging application aspects and a substantial commercial impact on the market. ETB calls address applications in all fields of modern biotechnology (see [Definition 2](#)), irrespective of particular branches (health, marine & fresh water, agro/food, industrial and environmental biotech projects are more than welcome).

Funding is intended for innovative biotech-projects in the fields of:

- Industrial research & development (see [Definition 3](#)).
- Applied research (*i.e.* experimental development *sensu* EC) (see [Definition 4](#)).

2.1. ETB recommendations for project budget and duration

- The budget for individual project proposals is not restricted; it must fit with the project goals and must not fall below / exceed national / regional funding limits for each partner (for further information see contact information ([Fact sheet 1](#)) and national regulations ([Fact sheet 2](#))).
- The project duration is not restricted by ETB, but projects of 2 to 3 years are recommended.

2.2. Budget & funding modalities

- The amount of available funding is stated in the [call announcement document](#). Funding of project partners is provided by the participating national / regional programmes according to national / regional regulations ([Fact sheet 2](#)).
- A consortium agreement among the project partners is not needed at the moment of proposal submission, but may be required by some national / regional programmes before first instalments can be transferred. For more details please contact your [national ETB contact](#) or see [Fact sheet 2](#). Support is also provided in the [FAQ](#) of ETB.

3. ETB eligibility criteria (mandatory for any ETB project)

The ETB consortium has developed formal criteria which are applied in the eligibility check and in the evaluation process to select the best collaborative R&D&I-projects in the biotech field. These criteria have been agreed upon by all participating programmes and are described below, together with a set of recommendations given by ETB.

Please note that this does not obviate more specific criteria set up in the single national / regional funding programmes. Programme-specific criteria and requirements are found in [Fact sheet 3](#) and are referred to where applicable (not exhaustively).

3.1. Area of activities and project scope

- Projects must be in the field of biotech ([OECD definition](#)) irrespective of the core business of the applicants. Please note that some national programmes may not support non-biotech applicants because they have complementary funding programmes for them ([Fact sheet 3](#)).
- Projects must be technologically innovative and create economical value. They may involve any kind of work up to and including the pre-competitive phase (i.e. up to the first prototype)

3.2. Composition of consortia

To facilitate a broad-based funding support for SMEs engaged in biotech from the ETB countries / regions, the ETB initiative allows a great flexibility with regard to the composition of transnational consortia, in particular with respect to size / number of partners (see below).

ETB criteria for an eligible consortium are the following:

- 3.2.1.** The consortium consists of at least two enterprises belonging to two different countries participating in the call ([see the list in the announcement](#)) and coordinated by a SME. Cooperation between two or more regions of one single country is not considered to be transnational. However, inter-regional

partnerships may apply together with an additional company partner from another country (see also [3.2.3](#)).

There is no upper limit of consortium size. Consortia can involve as many partners (e.g. SMEs, Large Industries (LI), Academic research groups or other public / private Research Organisations (RO) belonging to the participating countries – but see [3.2.4](#)) as necessary to achieve the project goals.

- 3.2.2.** The consortium must be balanced both at consortium partners and countries level: that means that the contribution of one country / partner to the collaborative project must not exceed 70% of the total project costs, in order to ensure balanced trans-national partnerships.
- 3.2.3.** The consortium must have a focus on SME participation. It means that:
 - The consortium has to be coordinated by a SME, that can delegate parts of the project management to the most competent partner, if necessary;
 - A minimum of 50% of the total project costs must be budgeted for SME partners within the consortium.
- 3.2.4.** Academic research groups or other public / private research organisations (RO) can join the consortia provided there is also a company (SME or LI) from the same ETB programme participant. Specific conditions for the funding of ROs and LIs may apply (depending on the national / regional funding programmes) ([Fact sheet 3](#)).
- 3.2.5.** According to ETB rules, projects are checked for eligibility and evaluated as a whole, not per partner. This means that failing of one partner within the consortium will cause the entire project to fail.
- 3.2.6.** Proposals must be submitted via ETB electronic submission system (ESS, see [5](#), for the submission deadline please check the [call announcement](#)) and meet the required format (see [4](#). and [5](#).). Please note that failure to comply the submission rules (date, format and size of file) may cause ineligibility of the project.

3.3. *Responsibility of the project coordinator*

- The project coordinator will lead the consortium throughout the application procedure and is fully responsible for the overall project coordination.
- ETB will only communicate with the project coordinator. Accordingly, the project coordinator is obliged to share all the information provided by ETB with the other consortium partners.
- The project coordinator has to make sure that all partners fulfil both ETB and national / regional requirements and criteria ([Fact sheet 3](#)) and participate actively in the proposal preparation, by doing their best to guarantee the project eligibility and quality.
- The project coordinator should make sure which type of funding (loans / grants) are going to be provided by his / her own national / regional programme and that / those of his / her international partner/s ([Fact sheet 2](#)).
- The project coordinator has to make sure that all consortium partners provide the necessary information and formalities as required by the national / regional

programmes ([Fact sheet 2](#), [Fact sheet 3](#)) to ensure the eligibility of the project according to ETB rules, and will be responsible for this function towards the consortium.

ETB recommendations

- Any single partner's participation should involve R&D&I activities. The focus is on companies whose main activities rest in developing and manufacturing innovative products.
- Parties from countries / regions which do not participate in the ETB call may be part of a consortium at their own expenses if their contribution is needed to achieve the project goals and they are additional with respect to the minimum number of 2 companies (SME+SME or SME+LI) from 2 ETB participants.
- Subcontractors can be included and are managed under the national / regional financing regulations of the eligible participant.
- Applicants should convincingly demonstrate their ability to co-finance the project beyond the potential funding. The financial capability and stability of SME applicants is considered an important eligibility criterion in most participating programmes (see [3.4](#)).

3.4. National / regional project eligibility criteria for funding

All consortium partners must prove sufficient financial stability to conduct the project properly. National / regional programme managers will check the financial status of their countries / regions' partner.

ETB will accept these national / regional eligibility criteria. Thus, national / regional programmes will co-decide on the eligibility of ETB projects. This process of assessing financial stability may be ongoing until the end of the evaluation phase in order to reflect the economic development of the companies and guarantee the operative viability of the proposed project.

4. Application Procedure

The application process is single-phased. Proposals have to follow the [application form](#) provided by ETB and should be submitted by the project coordinator electronically using the ETB electronic submission system (ESS) [described in section 5](#). The submission deadline can be found in the [call announcement](#). Please see also [Fact sheet 3](#) for additional requirements (e.g. hard copies, forms, etc.) that may be required by national / regional programmes.

4.1. Eligibility check and evaluation of the proposals

Upon receipt by the ETB office, proposals are registered and acknowledged. The proposal eligibility check will be carried out by ETB through the ETB participating programmes and will address formal compliance with the (1) [ETB](#) criteria that are applied in all member countries / regions, and the (2) national requirements that are applied exclusively to the applicants from these countries / regions ([Fact sheet 3](#)). During the eligibility check and until the end of the evaluation the involved

programmes may ask for additional information. Proposals that do not fulfill the ETB eligibility criteria or national/regional requirements will not be subject to evaluation by ETB. The results of the eligibility check will be disclosed to the project coordinator during March 2014.

The evaluation of the project proposals is conducted by experts and discussed by international evaluators with relevant expertise in the project field. Eligible proposals are subject to a thorough quality and viability assessment with respect to four equally weighted criteria:

- Technological / scientific innovation aspects,
- Economic and social perspectives and sustainability,
- Consortium potential and project management capabilities,
- Human and financial resources.

For detailed information on the evaluation criteria, please see [Fact sheet 4](#).

Positively evaluated projects will be recommended for funding by the ETB consortium. The results will be made available and be communicated to the project coordinators through ETB office by the date stated in the call announcement. The involved funding agencies / ministries will subsequently formally decide at the national / regional level on funding of the projects (please contact your ETB contact person in this regard since funding decision dates will differ from one country / region to another).

4.2. *Filling in the application form*

Please, follow the subsequent instructions for filling in the proposal:

- Please fill in the [application form](#), check that all fields are completed with the required information, and make sure that the entries of individual partners (part C) are consistent with the overall project data on pages 1 and 2.
- All budget data have to be provided in integral numbers in K€ (fraction numbers are not possible). Please fill in the project costs and the funding requested for each partner in the tables on pp. 6, 8, 10, 12, 14, 16.
- The total costs, the total funding requested and the co-financing data are automatically calculated as well as the budget data on p. 2.
- The “budget per country” on p. 1 has to be calculated and filled in by the coordinator.
- The proposal summary should briefly (max. 1 page) describe project goals and a scientific / technological overview. The project should be in line with the ETB eligibility criteria cited in [section 3](#).
- The project description must not exceed 20 pages including figures and tables and should be a concise description of the project according to the template provided in the [application form](#). Be aware that the project description should allow evaluators to address all the evaluation criteria properly ([Fact sheet 4](#)).
- At the end of the project description, please provide a publishable summary of the project (maximum 2000 characters). This summary will be published in the ETB project database on the ETB webpage.

- The application form is a single document in MS Word® format and the space available for your information has been limited on purpose. No additional documents will be considered. The proposal file must be submitted electronically in the original format (not a PDF) before the deadline and must not exceed 4 MB.

4.3. Information, communication & assistance for applicants

- Each ETB participating programme has nominated ETB contact persons ([Fact sheet 1](#)) and applicants are strongly recommended to get in touch with them to get answers to individual questions and receive information on the specific national / regional regulations and requirements ([Fact sheet 2](#)).
- The only official communication line for ETB exists between the ETB office and the project coordinator. The project coordinator is the only contact person informed by the ETB office with regard to relevant questions during the application procedure. He / she must provide this information to the partners ([see also section 3.3](#)).
- For further assistance and to download the application forms please visit the ETB website (www.eurotransbio.eu).
- For additional information and support please contact your [national contact persons and/or ETB office](#).

5. Delivery (Electronic submission system)

All application forms must be sent to the ETB office via a secured electronic submission system (ESS). To submit your proposal please

- Download the application form from the ETB homepage (<http://www.eurotransbio.eu/index.php?index=13>).
- Save the file as COORDINATING COUNTRY / REGION_ACRONYM.doc and complete the form on your computer (e.g. ITALY_BioWin.doc).
- When you are ready to submit the proposal, please register at the submission site (<http://www.etbsubmission.eu/>).
- Complete the sections “Project Coordinator”, “Partners” and “Basic Project Data”. Use the same acronym as in your final application.
- Upload your proposal in the section “Upload proposal”.
- Submit the project by clicking on “Submit” (navigation bar on the left).

For further information, please follow the instructions on the submission site (<http://www.etbsubmission.eu/>). It is possible to upload an updated version of the proposal until the deadline and then to submit again (“Submit”-button). The last uploaded version will overwrite the older one.

After submission, an ETB code number will be attributed to project proposals arriving on time and this number will be communicated to the project coordinator. Please submit your project on time. Although the deadline is defined in the [call](#)

announcement ETB strongly recommends that you submit the proposal before this date.

Please note that as a formal requirement, a signed hard copy or electronic submission of the proposal and / or other documents might be required by the national / regional programme involved, and that this process may also have its own deadlines (**Fact sheet 2 and 3**).

6. Confidentiality

ETB ensures complete confidentiality to applicants, i.e. your proposal will only be read by the national / regional evaluators, by the commissioned international experts, and be handled by the ETB office operated by Forschungszentrum Jülich GmbH, Germany. All parties involved have signed a confidentiality agreement.

7. Conflict of interest

In order to exclude individual conflicts of interest the applicants are encouraged to provide the names of companies / research groups / individual evaluators (e.g. direct competitors, names of individuals), that / who should be excluded from the ETB Evaluation Board, in the application form.

8. Timeline

Please consult the information provided in the **call announcement**.

9. Monitoring and follow-up of the projects

Monitoring and follow up of funded projects is conducted by each of the national / regional programmes for each of the project partners according to the national / regional regulations and the individual contracts / agreements between the applicants and their respective agencies/ministries.

Additionally, each project participant will have to complete the 'ETB final project report form' which will be provided by the ETB office after the completion of the project.

10. Partnering

ETB has created a partnering site to facilitate the matchmaking of partners to set up a consortium. If you are looking for a partner for your ETB project or if you are looking for a project proposal to join, visit the ETB partnering web-page **<https://www.etbsubmission.eu/index.php?index=21>** and fill in your project description and contact details. This option will help you to build a consortium. For further information on completing a project/ partner search, visit the partnering site web-page. ETB is also present on **[Linkedin](#)**.

ETB will be present at the **BIO-Europe 2013** in Vienna (4th November to 6th November). ETB welcomes you at its booth at the exhibition where we are pleased to

inform about the ETB program and modalities of submitting a transnational project to ETB. ETB will support your partnering; we will display all the profiles from the partnering web-page in the booth and thus make it available to interested companies.

Definition 1

SME (ETB applies the EU definition for SME)

On May 6th, 2003 the European Commission adopted a new Recommendation 2003/361/EC regarding the SME definition which replaced Recommendation 96/280/EC as from April 3rd, 1996. This definition is based on headcount, turnover / balance sheet total and on independence of the company (see also <http://ec.europa.eu/enterprise/policies/sme/facts-figures-analysis/sme-definition/>) This [website](#) will allow you to find out whether or not your company is an SME.

Definition 2

Biotechnology (ETB applies the OECD definition for biotech)

The application of science and technology to living organisms, as well as parts, products and models thereof, to alter living or non-living materials for the production of knowledge, goods and services. Please go to the [OECD-definition](#).

Definition 3

Industrial research (ETB applies the EU definition)

In the ETB projects, industrial research refers to the planned research or critical investigation aimed at the acquisition of new knowledge and skills for developing new products, processes or services or for bringing about a significant improvement in existing products, processes or services. It comprises the creation of components of complex systems, which is necessary for the industrial research, notably for generic technology validation, to the exclusion of prototypes as covered by “experimental development”.

Definition 4

Applied research

In the ETB projects, applied research means the implementation of the results of industrial research for the creation of commercial applications. Applied research is performed in order to solve specific, practical questions related to product, process or service development up to the first prototype.

Experimental development (ETB applies the EU definition)

Experimental development means the acquiring, combining, shaping and using of existing scientific, technological, business and other relevant knowledge and skills for the purpose of producing plans and arrangements or designs of new, altered or improved products, processes or services. These may also include, for example, other activities aiming at the conceptual definition, planning and documentation of new products, processes and services. The activities may comprise producing drafts, drawings, plans and other documentation, provided they are not intended for commercial use.

The development of commercially usable prototypes and pilot projects is also included where the prototype is necessarily the final commercial product and where it is too expensive to produce for it to be used only for demonstration and validation purposes. In case of a subsequent commercial use of demonstration or pilot projects, any revenue generated from such use must be deducted from the eligible costs.

The experimental production and testing of products, processes and services is also eligible, provided that the products, processes and services cannot be used or transformed for industrial or commercial applications.

Experimental development does not include the routine or periodic changes made to products, production lines, manufacturing processes, existing services and other operations in progress, even if such changes may represent improvements.

Fact sheet 1

Contact data of national funding agencies

Austria:

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Fact sheet 2

Application of national regulations

Austria

- 1 Name of the programme
General Programmes / Basisprogramme
- 2 Agency
Austrian Research Promotion Agency / Österreichische Forschungsförderungsgesellschaft (FFG)
- 3 Who can be funded (Who cannot be funded)?
FFG-General Programmes promote all sizes of firms. Applicants might be enterprises, research institutes and inventors.
- 4 Which types of projects are /are not eligible?
Industrial and applied research projects providing commercialisation expertise are eligible.
- 5 Which types of costs are/are not eligible?
Personnel costs, capital investment and other costs (such as patenting, IPR management, materials, travelling, and subcontractors) are eligible. Costs pertaining to marketing, sales and distribution, and transition to production are not eligible costs.
- 6 Funding is provided as grant/loan/capital loan/guarantee
Funding is provided as a mixture of grants, loans and guarantees.
- 7 Limits of funding per proposal
A total of maximum 50% of R&D costs can be funded, there is no absolute limit.
- 8 Link to the programme
<http://www.ffg.at/content.php?cid=8>
- 9 Link to general guidelines
<http://www.ffg.at/projektfoerderung-im-basisprogramm>
- 10 Link to reporting and follow up
<http://www.ffg.at/projektfoerderung-im-basisprogramm>
- 11 Information on biotech companies
<http://www.lifesciencesdirectory.at>

Flanders / Belgium

- 1 Name of the programme
O&O-bedrijfsprojecten / KMO-programma - innovatieprojecten
- 2 Agency
IWT
- 3 Who can be funded (Who cannot be funded)?
IWT funds small, medium sized and large enterprises. Participants must be enterprises. Research organisations may participate as partners or subcontractors.
- 4 Which types of projects are /are not eligible?
Industrial and applied research projects with an economical outcome are eligible.
- 5 Which types of costs are/are not eligible?

Personnel costs, capital investment and other costs (such as patenting, materials, travelling, and subcontractors) are eligible. Costs pertaining to marketing, sales and distribution, and transition to production are non eligible costs.

6 Funding is provided as grant/loan/capital loan/guarantee
Funding is provided as a mixture of grants and loans.

7 Limits of funding per proposal
Maximum 80% of R&D costs can be funded. The total funding of the project cannot exceed 5 million EURO.

8 Link to the programme
<http://www.iwt.be/subsidies/oeno-bedrijfsproject>
<http://www.iwt.be/subsidies/kmo-innovatie>

9 Link to general guidelines
<http://www.iwt.be/subsidies/oeno-bedrijfsproject>
<http://www.iwt.be/subsidies/kmo-innovatie>

10 Link to reporting and follow up
<http://www.iwt.be/subsidies/oeno-bedrijfsproject>
<http://www.iwt.be/subsidies/kmo-innovatie>

11 Information on biotech companies
<http://www.flandersbio.be/>
<http://www.flandersfood.com>

Wallonia / Belgium

1 Name of the programme
General programme

2 Ministry
Directorate General Operational for Economy, Employment and Research

3 Who can be funded (Who cannot be funded)?
All size of enterprises, academia and research centres can be funded

4 Which types of projects are /are not eligible?
Industrial research projects are eligible.

5 Which types of costs are/are not eligible?
Personnel costs, capital investment, patenting, technical knowledge, general costs and exploitation costs are eligible.

6 Funding is provided as grant/loan/capital loan/guarantee
Grants.

7 Limits of funding per proposal
SME: 60 to 80%
Large companies: 40%
Academia: 100%
Research centres: 75%

8 Link to the programme
<http://recherche-technologie.wallonie.be/fr/menu/acteurs-institutionnels/service-public-de-wallonie-services-en-charge-de-la-recherche-et-des-technologies/departement-des-programmes-de-recherche/direction-des-programmes-federaux-et-internationaux/era-nets/eurotransbio-pro/eurotransbio-pro.html>

9 Link to general guidelines
<http://recherche-technologie.wallonie.be/fr/menu/ressources/programmes/les-era-nets.html?TEXT=era-net>

10 Link to reporting and follow up

<http://recherche-technologie.wallonie.be/fr/menu/ressources/programmes/les-era-nets.html?TEXT=era-net>

11 Information on biotech companies

Contact: nicolas.delsaux@spw.wallonie.be Tél. : +32 81 33 45 20

Finland

1 Name of the programme

No thematic programme, but continuous open call for company proposals

2 Agency

Tekes – the Finnish Funding Agency for Technology and Innovation

3 Who can be funded (Who cannot be funded)?

Tekes can fund all sizes of companies and research institutes located in Finland and involved in R&D&I. However, public research groups are considered as subcontractors to the company proposals in the ETB calls.

4 Which types of projects are /are not eligible?

Tekes funds a certain percentage of eligible costs of the submitted R&D project. Tekes funds companies to start R&D projects, to promote faster commercialization of research results, enhance networking and to utilize international cooperation.

5 Which types of costs are/are not eligible?

Personnel costs, equipment (where applicable), consumables, traveling, patenting and subcontractors are eligible. Costs pertaining to sales and distribution are non eligible costs.

6 Funding is provided as grant/loan/capital loan/guarantee

Funding can be given in the form of grants or loans (or a combination of them). The funding type depends on the nature of the project and the size of the company.

7 Limits of funding per proposal

No limits

8 Link to the programme (in Finnish and English)

<http://www.tekes.fi/fi/community/Innovaatorahoitus/311/Innovaatorahoitus/593>

9 Link to general guidelines (in Finnish and English)

<http://www.tekes.fi/fi/community/Innovaatorahoitus/311/Innovaatorahoitus/593>

10 Link to reporting and follow up (in Finnish)

<http://www.tekes.fi/fi/community/Verkkoasiointi/337/Verkkoasiointi/616>

Alsace Region / France

1 Name of the programme

R&D Call for proposals – Enterprises & Laboratories / GRAINE

2 Agency

Alsace Region - Directorate for Competitiveness and Knowledge

3 Who can be funded (Who cannot be funded)?

All size of enterprises but priority is given to SMEs. Enterprises with more than 250 employees will be eligible if they have a R&D staff located or under creation in Alsace. Entreprises with more than 2000 employees are eligible if they collaborate with an academic institute (which could be located in Alsace or not).

Academia and research centres on a case-by-case basis.

4 Which types of projects are /are **not** eligible?

Eligible: Industrial research and demonstration/experimental development projects.

5 Which types of costs are/are not eligible?

For SMEs and large companies:

Personnel cost, patenting, travel costs, subcontracting, equipment (only depreciation), consumables (all linked to the project)

For Academia and research centres

Personnel cost (only additional costs*), patenting, travel costs, subcontracting, equipment (only purchase), consumables (all linked to the project)

**In order to show the financial investment of the structure, personnel cost (for academia and research centres) must appear in the financial base.*

6 Funding is provided as grant/loan/capital loan/guarantee

Grant: for young SME, academia and research centres

Repayable advance: for other SMEs and large companies

From the moment that the funding is provided as loan, this one is levied from a mutualised fund in favour of innovation created by Alsace Region and Bpifrance. In this case, Alsace Region delegates the payments and reimbursements to Bpifrance. NB: For enterprises with more than 2000 employees, the funding will be only provided by Alsace Region within this mutualised fund.

7 Limits of funding per proposal

Funding % of eligible costs for all type of applicants => 50% of eligible costs

Maximum amount (in €) per proposal:

- **250K€ for SME and Large Company participation**
- **200K€ for academia and research organisation participation**

8 Link to the programme

<http://www.region-alsace.eu/appele-projet/appele-projets-recherche-et-developpement>

9 Link to general guidelines

<http://www.region-alsace.eu/sites/default/files/fichiers/appele-projet/appele-projets-recherche-innovation.pdf>

10 Link to reporting and follow up

<http://www.region-alsace.eu/sites/default/files/fichiers/appele-projet/appele-projets-recherche-innovation.pdf>

11 Information on biotech companies

<http://www.alsace-biovalley.com/>

Germany

1 Name of the programme

KMU-Innovativ: Biotechnologie: Bio Chance

2 Agency

Projektträger Jülich

3 Who can be funded (Who cannot be funded)?

The programme provides funding opportunities for SMEs and their strategic partners.

4 Which types of projects are /are **not** eligible?

Precompetitive R&D projects in the field of biotechnology are eligible

5 Which types of costs are/are not eligible?

see ANBest, BNBest & NKBF 98 (Nebenbestimmungen für Zuwendungen auf Kostenbasis des Bundesministeriums für Bildung und Forschung an Unternehmen der gewerblichen Wirtschaft für Forschungs- und Entwicklungsvorhaben).

6 Funding is provided as grant/loan/capital loan/guarantee

Funding is provided as grant.

7 Limits of funding per proposal

There is no particular limit for funding of individual projects.

8 Link to the programme
<http://www.hightech-strategie.de/de/13.php>

9 Link to general guidelines
<http://www.ptj.de/eurotrans-bio>

Italy

1 Name of the programme

Italy participates in the EuroTransBio (ETB) call through a dedicated national call in the framework of the Programme called FCS “Fund for Sustainable Growth” (FCS - Fondo per la Crescita Sostenibile), established by Law n. 134/2012, art. n. 23. All the following information refers to the FCS Programme’s general rules and conditions, as well as to the specific national ETB call document, namely “Bando per la Concessione di Agevolazioni per lo sviluppo di progetti transnazionali di sviluppo sperimentale e ricerca industriale nel campo delle biotecnologie”.

2 Agency

The FCS Programme is managed by the Italian Ministry of Economic Development (DGIAI – Direction General for the Support to Enterprises, Division VIII - Actions for Technological Innovation and Enterprises Networks, R&D Integrated Programmes).

3 Who can be funded (Who cannot be funded)?

Firms of any size in any industrial sector can be funded. Also Italian universities and research bodies can apply, if at least one Italian enterprise established in Italy is involved in the project.

4 Which types of projects are /are not eligible?

Projects aiming at performing industrial research and experimental development activities, by realising new or considerably improved products, processes and services, through the development of the biotech technologies indicated at point n. 4 of the “Technologies List” attached to the decree MSE-MEF of March 8th, 2013.

5 Which types of costs are/are not eligible?

Eligible costs include: expenses for personnel, equipment, subcontracting and other costs (licences, patenting costs, materials), overheads. Not eligible expenses are those pertaining to marketing, sales and distribution, and transition to production, as well as all the expenses sustained for project application, and in general all not traceable or not certifiable expenses.

6 Funding is provided as grant/loan/capital loan/guarantee

Co-funding by the MSE (Ministero dello Sviluppo Economico) is provided through soft loans.

7 Limits of funding per proposal

The limits of public co-funding are those fixed by the national rules, complying with artt. 31 and 6 of GBER Regulation.

8 Link to the programme

http://www.mise.gov.it/index.php?option=com_content&view=article&viewType=1&idarea1=593&idarea2=0&idarea3=0&idarea4=0&andor=AND§ionid=0&andorcat=AND&partebassaType=0&idareaCalendarario1=0&MvediT=1&showMenu=1&showCat=1&showArchiveNewsBotton=0&idmenu=2263&id=2029517

9 Link to general guidelines

http://www.mise.gov.it/index.php?option=com_content&view=article&viewType=1&idarea1=593&idarea2=0&idarea3=0&idarea4=0&andor=AND§ionid=0&andorcat=AND&partebassaType=0&idareaCalendarario1=0&MvediT=1&showMenu=1&showCat=1&showArchiveNewsBotton=0&idmenu=2263&id=2029517

Russian Federation

1. Name of program

General Programmes / Under program RAZVITIE (Development)

2. Agency

FASIE (Foundation for Assistance to Small Innovative Enterprises)

3. Who can be funded (Who cannot be funded)?

Only small companies according to the Russian law (up to 100 personnel, up to 10 mln EUR turnover and less than 25% participation of public, foreign and large companies). Universities, research organizations and other public bodies can participate as subcontractors (with not more than 30% share received as a grant from FASIE being subcontracted).

4. Which type of projects are / are not eligible?

Technically and financially feasible R&D projects in the field of biotechnology with an impact increase in the R&D company activities are eligible.

5. Which types of costs are /are not eligible?

Personnel costs, equipment (where applicable), subcontracting, some „other” costs are eligible. Travelling\subsistence, IPR, marketing are not eligible. Those have to be covered from co-financing. For details please contact the NCP.

6. Funding is provided as grant/loan/capital loan/guarantee

Funding is provided as a grant.

7. Limits of funding per proposal

Maximum amount per proposal is 200 000 EUR (the amount which can be provided by FASIE). Ideally, the projects are for 2 years (3 year is maximum).

8. Information on biotech companies

Please contact Olga Levchenko levchenko@fasie.ru

Andalusia / Spain

ORDEN de 12 de enero de 2012, por la que se establecen las bases reguladoras de un Programa de Incentivos para el Fomento de la Innovación y el Desarrollo Empresarial en Andalucía y se efectúa su convocatoria para los años 2012 y 2013.

2 Agency

Agencia de Innovación y Desarrollo de Andalucía (IDEA)

3 Who can be funded (Who cannot be funded)?

Small, medium and large companies and associations and foundations of companies can be funded. Research centres, universities and public entities can participate as subcontractors.

4 Which types of projects are /are not eligible?

Projects which match at least one of the categories of projects defined into the RDT programme are eligible. In general, those projects which lack of scientific or technological relevance, or which activities are related to productive matters or renovation of software, are not eligible.

5 Which types of costs are/are not eligible?

Eligible costs are those costs listed in article 6 of the ORDEN, mainly: Personnel, subcontracting, equipments, other costs (travel costs are not eligible) and overheads.

- 6 Funding is provided as grant/loan/capital loan/guarantee
Funding is provided as a grant.
- 7 Limits of funding per proposal
Intensity of Grants is fully described in article 5 of the ORDEN, but in general there will be limited to the RTD EU State Aids Framework.
- 8 Link to the programme
<http://www.agenciaidea.es/programa-innovacion-y-desarrollo-empresarial>
- 9 Link to general guidelines
<http://www.agenciaidea.es/programa-innovacion-y-desarrollo-empresarial>
- 10 Link to reporting and follow up
<http://www.agenciaidea.es/programa-innovacion-y-desarrollo-empresarial>
- 11 Information on biotech companies
<http://www.andaluciabioregion.es/es/index.cfm>

Basque Country / Spain

- Name of the programme
GAITEK
- 2 Agency
Sociedad para la Promoción y Reconversión Industrial (SPRI)
- 3 Who can be funded (Who cannot be funded)?
Small, medium and large companies and associations and foundations of companies can be funded. Research centres, universities and public entities can participate as subcontractors.
- 4 Which types of projects are /are not eligible?
Projects for the development of new products are eligible.
- 5 Which types of costs are/are not eligible?
Personnel, subcontracting, equipments, other costs (travels and subsistence, materials, etc) are eligible.
- 6 Funding is provided as grant/loan/capital loan/guarantee
Funding is provided as a grant.
- 7 Limits of funding per proposal
Limits of funding: up to 50% grant (SMEs), up to 40% grant (large companies). Maximum amount of funding: 250,000€ funding per beneficiary and per year. The project has to be revised annually.
- 8 Link to the programme
<https://www.spri.es/idi/idi/>
- 9 Link to general guidelines
<https://www.spri.es/idi/idi/>
- 10 Link to reporting and follow up
<https://www.spri.es/idi/idi/> and www.industria.ejgv.euskadi.net
- 11 Information on biotech companies
www.biobasque.org and www.innobasque.com

Fact sheet 3

National requirements

Specific national requirements are listed for each ETB country. Please, keep in mind that the list is not exhaustive. For further information regarding national regulations, please contact your [national contact person](#).

Austria

- A project cannot receive double public funding.
- The partners must have a solid and steady financial basis.
- The company must demonstrate its capability (in terms of dimension: personnel, laboratories, facilities) to gear properly its project effort (cost share).
- The project must be compatible with the national programme. Any kind of research supporting military activity will not be funded.
- Only pre-competitive development projects can be funded.
- All information needed for the evaluation should be available.
- The company must be registered in the country of the programme owner to receive funding and it must be well established in the country with plants, laboratories, employees, etc.
- The project must add benefit to the national economy.
- Following the electronic submission of the application form to ETB office by the project coordinator, Austrian partners must submit their part of the proposal via FFG e-Call (<https://ecall.ffg.at/Cockpit/Cockpit.aspx>) and follow the usual application procedure of the FFG Basisprogramme.
- Austrian partners are funded in accordance with the programme guidelines of General Programmes of the FFG (max. 50% of project costs).
- No funding of dissemination and training.
- A bonus of 5% for international cooperation is additionally assigned.

Flanders / Belgium

- A project cannot receive double public funding.
- Minimal budget for the Flemish partners is 50 000 EUR. The budget for the Flemish partners should follow the IWT cost model.
- The company must have a stable financial situation. Financial statements of the last 2 years should be included (in case of a start-up company, the business plan should be included as well).
- The company cannot be subject to any legal administrative procedure.
- The company must have enough financial means to fund its own part of the project.
- The project must be compatible with the regional program. Any kind of research supporting military purposes is not funded.
- All information needed for evaluation should be available

- The company must be registered in Flanders in order to receive funding. Moreover, the company must be well established in the region.
- The project must add benefit to the regional economy. Companies should describe the “*Toegevoegde waarde in Vlaanderen*” (max 5 pages). This document should be part of the hard copy sent to IWT. Companies which do not create enough added value for Flanders will not be considered eligible
- Companies may not be in default for previously funded projects.
- The duration of the project is max 3 years.
- Max funding is 80%.
- Large companies can be funded.
- Subcontractors can be funded.
- The applying Flemish companies are obliged to contact IWT at least 1 month before the closing of the call.
- If authorization by an ethical commission is required for project execution in Flanders, the official approval should be send to IWT as soon as possible. In any case, for projects that have been selected for funding, the official authorization document has to be made available to IWT after the funding decision was made by the board of IWT. If not, project funding will be denied.
- Declaration (in Dutch and included in the hardcopy sent to IWT) that
 - The ETB-procedures and rules will prevail on IWT-procedures and rules.
 - IWT can perform all due diligence to evaluate the international project.
 - There are no government claims on the company and there is no double financing.
 - That the given financial information is correct.
 - Electronic version of the application sent to ETB is identical to the hard copy of the application sent to IWT.

DECLARATION FORM

Voeg aan uw aanvraag onderstaande tekst toe en onderteken deze. De ondertekening moet voor uw bedrijf gebeuren door een persoon die de betrokken rechtspersoon rechtsgeldig kan verbinden.

Ik verklaar in naam van het bedrijf akkoord te gaan met de ETB-procedure en dat deze procedure voorrang heeft op de IWT-procedure.

Hierbij geef ik IWT-Vlaanderen de toestemming alle nodige enquêtes te laten uitvoeren naar aanleiding van de aanvraag bij IWT-Vlaanderen tot financiële steun voor het ETB project : *<titel project>*.

In hoofde van het aanvragend bedrijf verklaar ik dat het bedrijf op datum

van deze aanvraag geen vervallen schulden bezit met betrekking tot belastingen en/of RSZ alsook in orde is met betrekking tot de nodige (milieu)vergunningen. Ik verklaar tevens voor dit projectvoorstel geen andere overheidssteun aangevraagd of ontvangen te hebben.

Tevens verklaar ik dat alle relevante informatie m.b.t. deelnemingen en stemrechten van mijn bedrijf en zijn aandeelhouders volledig en correct is.

Ik verklaar dat het aanvragend bedrijf op datum van deze aanvraag in orde is met betrekking tot de nodige vergunningen en dat indien voor het project specifieke autorisaties of verzekeringen vereist zijn, deze tijdig zullen aangevraagd worden en dat de activiteiten die dergelijke autorisaties/verzekeringen vereisen niet zullen uitgevoerd worden zonder dat deze autorisaties/verzekeringen bekomen werden.

Ik verklaar in naam van het aanvragend bedrijf dat de elektronisch ingestuurde versie van de ETB projectaanvraag identiek is aan de papieren versie van de ETB projectaanvraag die aan het IWT wordt bezorgd

Datum :

(handtekening(en), voorafgegaan door “gelezen en goedgekeurd”)
Voor het aanvragend bedrijf

A project can only be considered eligible if an electronic version is sent to ETB and a hardcopy is sent to IWT including the document describing the added value for Flanders and the declaration form.

Wallonia / Belgium

- A complementary Walloon submission form must be submitted to the DGOEER within 7 days after the call deadline.
- All information needed for evaluation should be available.
- Small, medium, large companies, academia and research centres can be funded.
- Walloon companies must benefit from at least 40% of the Walloon funding for the project.
- The budget for the Walloon partners should follow the DGOEER cost model
- The beneficiary must be registered in the region of the programme owner to receive funding and it must be well established in the region.
- The beneficiary must have a stable financial situation.

- The beneficiary must have enough own financing for the project that they are applying funding for.
- The project must be compatible with the regional programme.
- The project must add benefit to the regional economy.
- A project cannot receive double public funding.
- The duration of the project can be max 3 years.

Finland

- The project cannot receive double public funding.
- The company, applying for funding, should have a stable financial situation.
- The company must have enough own financing for the project for which they are applying for funding.
- The company must be registered in Finland and it must be well established in the country with plants, laboratories, employees, etc.
- The project must add benefit to the national economy.
- Subcontractors can be funded.
- Large companies can be funded.
- All areas of biotechnology (by OECD definition) are supported.
- Public research groups are considered as subcontractors to the company proposal. Please contact the national contact person at Tekes for further details.

Alsace Region / France

- Small, Medium, Large companies, academia and research centres can be funded but priority is given to SMEs. Academia and research centres will be funded on case-by-case basis.
- Eligible projects should demonstrate Innovative technology/services and reasonable market potential.
- The budget for the Alsatian partners should follow the Alsace Region cost model.
- The beneficiary must be registered in the region of the programme owner to receive funding and the R&D staff must be established in the region.
- The beneficiary must have a stable financial situation and enough financial means to fund its own part of the project.
- The beneficiary should not be in default for previously funded projects and/or cannot be subject to any legal administrative procedure.
- The project must add benefit to the regional economy.
- The duration of the project can be max 3 years.

Germany

- BMBF funding of the EuroTransBio call is provided as delineated in the regulations of the programme KMU-Innovativ: Biotechnologie - Bio Chance.
- The procedure (“Verfahren”) is according to the above mentioned EuroTransBio protocol (see Chapter 4 “Application Procedure”). The funding will be awarded as non-repayable project grant.
- The funding regulations, the follow up and reporting of publicly funded projects are regulated according to ANBest (Allgemeine Nebenbestimmungen), BNBest (Besondere Nebenbestimmungen) and NKBF 98 (Nebenbestimmungen für Zuwendungen auf Kostenbasis des Bundesministeriums für Bildung und Forschung an Unternehmen der gewerblichen Wirtschaft für Forschungs- und Entwicklungsvorhaben).
- The proposed project must be compatible with the programme KMU-Innovativ: Biotechnologie - Bio Chance.
- The company proposing a project must be registered in Germany and must be well established in Germany with plants, laboratories, employees, etc.
- The proposed project will be conducted in Germany.
- The utilization of the project results will take place in Germany.
- The company proposing a project must be capable to provide the co-financing.
- The proposed project must provide added value to the national biotech scenery.
- The proposed project must add benefit to the national economy.
- Double funding is not possible.
- Documents have to be submitted at Project Management Jülich
- Together with the electronic submission of the proposal at the ETB-office the applicants have to submit the following documents:
 - *Jahresabschlüsse der letzten zwei Jahre*
 - *Erklärung zur Aufbringung des Eigenanteils*
 - *Aktuelle BWA*
 - *Liquiditätsplanung für die Laufzeit des vorgeschlagenen Projektes*
- The documents have to be submitted to:

Forschungszentrum Jülich
 Projektträger Jülich
 Frau Elke Ruhrig
 52425 Jülich

Italy

- Italian applicants, in order to submit a project proposal under the ETB call, must submit a specific request for funding to the Ministry of Economic Development, following the rules and instructions described in the “Bando per la concessione di agevolazioni per lo sviluppo di progetti transnazionali

di sviluppo sperimentale e ricerca industriale nel campo delle biotecnologie” available at www.sviluppoeconomico.gov.it.

- The submitting Italian enterprises have to be registered to an Italian Chamber of Commerce (demonstrating also to be well established in Italy with plants, laboratories, employees).
- Universities and research centres can be funded, only if at least one Italian enterprise is involved in the project.
- The applicant shall not be subject to any legal administrative procedure.
- The applicant must not be in default for previously funded projects.
- The applicant must have a stable financial situation.
- The applicant must demonstrate its capability (in terms of dimension and competences: personnel, laboratories, facilities) to gear properly its project effort (i.e. its project cost share).
- The applicant must have enough own financial resources for the carrying out of the project that is submitted for funding.
- The sum of all the expenses of any single Italian partner in a single project must account over 200,000 Euro and below 500,000 Euro. In any case, the whole expenses sum of the Italian participants involved in a project cannot exceed 1.5 M Euro.
- A project cannot receive double public funding.
- Only project topics related to industrial innovation needs will be accepted.
- Only projects where the activities performed by the Italian applicants concern industrial research and experimental development can be funded.
- All areas of biotechnology (according to the OECD definition) are supported, but the project must be compatible with the national legislation and rules on biotechnologies (e.g. research or development on human embryo stem cells or GMOs for application in agriculture are not allowed).
- All information needed to do evaluation must be provided in the project proposal (incomplete proposals will be rejected without evaluation).
- The duration of the project should be 18-36 months.
- Funding amount depends on the national rules, complying with art. 31 and 6 of GBER Regulation.
- The Italian applicants are subject to all the general rules and conditions of the Italian Programme “Fund for Sustainable Growth” (FCS- Fondo per la Crescita Sostenibile, managed by the Ministry of Economic Development www.sviluppoeconomico.gov.it).
- Project budget information should be reported without taxes.

Russia

- The company which is being funded by FASIE by the time of the tentative start of the ETB project cannot be financed;
- The duration of the project is normally between 1.5 and 3 years (average 2 years)
- Universities, research organization and medium\large sized companies can be financed through sub-contracting (up to maximum 30%);

- The company must be a duly registered commercial body with the maximum participation of 25% in the share capital of public and foreign companies and large companies. Subsidiaries of foreign companies, daughter companies and non-commercial bodies can not be financed;
- The project must add benefit to the national economy and demonstrate added value from the international cooperation;
- Ideally, the project should result in acquiring a patent and demonstrate commercialization potential;
- The company applying for financing, should undergo the internal procedure of signing a contract with FASIE. Normally, it takes about 2 months after a positive decision is taken to actual start of funding;
- There is no legal limitation to the size and financial status of the company; however, preference will be given to the already established companies having proven record;
- Companies which are in the “black list” of FASIE (either did not fulfil contracts or did not meet other obligations) will not be financed;
- The company must have enough financial means to fund its own part of the project or, alternatively, find a co-financer (for example another member of the Consortium- a University or a Research Institution)
- Maximum budget per project is 200 000 EUR

Andalusia / Spain

- Small, medium and large companies, associations and foundations of companies are the beneficiaries of the Andalusia funding programme (research centres, universities and public entities can be subcontracted by the beneficiaries of the Andalusia funding programme).
- The company must count on a productive office located in Andalusia which tackles the development of the R&D project.
- Participation can be done in an individual way or in partnership with other Andalusia company, but in the last case, an application per partner is needed.
- Application must be done online and to be registered it is possible to use electronic signature either handwriting or personal registration in our provincial branches.
- The company must have a stable financial situation.
- The company must be up-to-date of its tax duties.
- The project shall not have a previous grant from the programme owner to receive further funding.
- Max financing of projects will be the 75% eligible budget
- The company must demonstrate the financial viability of the project for which they are applying for funding.
- All information needed for evaluation should be available.
- Projects must not be started before the submission date.

- Research project are required to count on the participation of a research group avowed as “ *Agente Andaluz del Conocimiento*” (mainly *Research Centres and the Universities*).
- The project must add benefits to the regional economy and clear impact.
- The duration of the project should be 1-3 years.
- Budget information does not need to include taxes.
- Projects will be structured by milestones to be reported and justified.

Basque Country / Spain

- Small, medium and large companies, associations and foundations of companies are the beneficiaries of the Basque funding programme (research centres, universities and public entities can be subcontracted by the beneficiaries of the Basque funding programme).
- The company must be registered in the country of the programme owner to receive funding.
- The company must have a stable financial situation.
- The company must be up-to-date of its tax duties.
- The project shall not have a previous grant from the programme owner to receive further funding.
- Max funding for SMEs is up to 50%.
- The company must have enough own financing for the project for which they are applying for funding. The company must guarantee an own contribution (min. 25%) to the costs of the project.
- The company cannot receive funding over a certain amount (250,000 Euro per beneficiary and per year).
- All information needed for evaluation should be available.
- The project must add benefit to the regional economy.
- The duration of the project should be 1-3 years.
- Budget information does not need to include taxes.

Fact sheet 4

Evaluation criteria

The international evaluation is performed considering the following aspects:

- Technological / scientific innovation
 - Technical risks and feasibility of the project
 - Degree of innovation in comparison with state-of-the-art
 - Practical value / benefit for the consortium
 - State of intellectual property - patents
 - Technology transfer through the project
- Social and economic perspective
 - Economic potential of the project - commercialisation of results
 - Impact for the companies - competitiveness, growth, employment
 - Business strategy of the project - strengths and weaknesses
 - Trans-national added value
 - Sustainable development in respect to social and environmental impact
 - Impact on the European competitiveness in the specific field
- Consortium and project management
 - Quality of consortium - complementarity, SMEs, internationality
 - Scientific and technological ability and experience of the consortium
 - Business expertise of the consortium (track record)
 - Project management capacity
- Resources
 - Human resources (qualification and overall man power)
 - Financial capability of the consortium and financial commitment of the partners
 - Facilities such as laboratories or very specialized equipment